



**The following provisions of the MGEX Rules and Regulations are
to be amended pending approval from or certification with the
CFTC**

EXHIBIT A

The following MGEX Regulations are to be amended. Additions are underlined while deletions are ~~marked through~~.

~~1812.00~~2.1.5. COOPERATION WITH INVESTIGATORY AND DISCIPLINARY PROCEDURES~~PROCESSES.~~

~~All suspensions, expulsions and other restrictions imposed upon a Member or Clearing Member by the Exchange pursuant to disciplinary procedures contained in MGEX Rules and Regulations shall restrict with equal force and effect access to the usage of the Electronic Trading System by such Member or Clearing Member.~~

~~Members and~~A Market Participants shall promptly respond, provide documentation, and cooperate in all inquiries by the Exchange. Failure to do so ~~shall~~will subject the ~~person or entity~~Market Participant to disciplinary ~~procedures~~processes, ~~including~~which may include immediate termination of usage and access to the Electronic Trading System. Disciplinary processes may be initiated by the Exchange pursuant to the MGEX Bylaws and Rules and may include public or private actions, summary fines, fines, suspensions, expulsions or other restrictions as determined to be necessary by the Exchange.

~~2070.00~~2.1.6. DUTY TO SUPERVISE.

A ~~party~~Person subject to MGEX Bylaws or Rules has a duty to supervise any agent, employee, or contractor under their direct or indirect control (for purposes of this Rule, an "agent"), who engages in this market or performs any Exchange-related activity. Any act, omission, or failure of any agent may be deemed to be an act, omission, or failure of the ~~party~~Person.

Unless prohibited by MGEX Bylaw or Rule, a ~~party~~Person may delegate the act of performance, but not the responsibility, of any MGEX Bylaw or Rule.

When assessing whether a ~~party~~Person has violated MGEX Rule ~~2070.00~~2.1.6., the Exchange may consider the ~~party's~~Person's lack of sufficient internal controls as an exacerbating factor.

MGEX Rules do not prohibit the Exchange from bringing disciplinary action against both the ~~party~~Person and the agent based on the same event or set of operative facts. If an agent is found to be in violation of any MGEX Bylaw or Rule, the Exchange may, in its discretion, take disciplinary action against the agent, the ~~party~~Person, or both.

~~2050.00~~2.1.7. HOLIDAYS.

~~Under authority of Rule 210.01., the~~The following days are declared to be holidays, during which the Exchange will not be open for business: New Year's Day, Dr. Martin Luther King, Jr. Day, Presidents' Day, Good Friday, Memorial Day, Independence Day, Labor Day, Thanksgiving Day and Christmas Day. When ~~any~~a holiday falls on ~~Sunday,~~a Saturday it will be observed the Monday next following shall be considered such holiday~~preceding Friday.~~ When ~~any such~~a holiday falls on ~~Saturday, the Friday immediately preceding shall be considered such holiday.~~ Dr. Martin Luther King, Jr. Day a Sunday it will be observed the following Monday. The Exchange may, in its discretion, declare additional holidays and details of observance~~shall always be the~~

~~third Monday in January. Presidents' Day observance shall always be the third Monday in February and Memorial Day observance shall always be the last Monday in May.~~

~~2090.00. PHYSICAL~~ **2.1.8. EMERGENCIES.**

~~Pursuant to the provisions of Rules 210.01. and 210.02., the Board of Directors has adopted this Regulation.~~

The Exchange ~~shall have~~ has the power to immediately delay, suspend, or close trading upon recognizing a problem ~~to serve notice to Market Participants that trading will be suspended immediately.~~ A problem may be the result of includes, but is not limited to the following:

- A. fire or other casualty,
- B. bomb threat,
- C. power failure,
- D. communications breakdown,
- E. computer malfunction, or
- F. other - technical difficulties.

~~In no event shall a suspension of trading continue for more than five (5) calendar days.~~
If such an event occurs, the Exchange will provide reasonable notice to the public.

For the submission to the CFTC, please click [here](#). If the link does not take you to the submission, copy and paste this text into your browser:

[http://www.mgex.com/unpub/MGEX40.6\(a\)Submission18-21.pdf](http://www.mgex.com/unpub/MGEX40.6(a)Submission18-21.pdf)